

RISK AND PROTECTIVE FACTORS AMONG YOUTH

Why and How to Use Them in Practice

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BRIEFLY ABOUT ME

- Researcher and teacher at Örebro University, in criminology and psychology. Head of criminology department.
- Research on risk and protective factors, assessment instrument development, and their role in making interventions more effective
- Author of more than 100 scientific papers, book chapters and volumes
- Developer of several instruments/checklists with focus on risk and protective factors
- Scientific advisor to the National Board of Health and Welfare, The Swedish National Board of Institutional Care, and Swedish agency for health technology assessment and assessment of social services.
 - Co-developer of the new version of BBIC (Barns Behov i Centrum)
- Trained staff in more than 200 of the Swedish municipalities in risk-protection and assessment
- Head of CAPS – Center for Criminological and Psychosocial Research
 - www.oru.se/jps/caps

TOPICS OF THE DAY

- Why focus on risk and protective factors in practice?
- Increased demand on evidence based practice – how do risk and protective factors come into the picture?
- What do the concepts risk and protective factors mean?
- How can risk and protective factors be considered and utilized in practice?
 - Risk focused prevention, and the principles of risk, need, and responsivity and their utility in practice.
- Good reasons to use structured checklists/instruments in risk-need assessments.
 - Examples of research shown positive effects.
- How link risk and protective factors to interventions?

THIS TRAINING IS MAINLY BASED ON...

- Andershed, A-K., & Andershed, H. (2015). Risk and protective factors among preschool children: Integrating research and practice. *Journal of Evidence-Informed Social Work*, (ahead-of-print), 1-13.
- Andershed, A-K., & Andershed, H. (2009). Bedömning av risk- och skyddsfaktorer för normbrytande beteende hos unga: Hur kan vi använda teori och forskning i praktiken? I *Barn och unga som begår brott - Handbok för socialtjänsten* (s. 161-201). Stockholm: Socialstyrelsen.
- Andershed, H., & Andershed, A-K. (2010). Risk-need assessment for youth with or at risk for conduct problems: Introducing the computerized assessment system ESTER. *Procedia Social and Behavioral Sciences*, 5, 377-383.
- Andershed, A. K., & Andershed, H. (2015). Improving evidence-based social work practice with youths exhibiting conduct problems through structured assessment. *European Journal of Social Work*, (ahead-of-print), 1-14.
- Andershed, A-K., Andershed, H., & Farrington, D. P. (2013). *Risk and Protective Factors For Future Psychosocial Problems Among Preschool Children: What We Know From Research and How It Can Be Used in Practice*. Rapport. Stockholm: Nordens Välfärdscentrum.
- Andershed, H., & Wirius, A. (2010). Riskbedömning – En introduktion. In A. H. Berman & C. Å. Farbring (Red.), *Kriminalvård i praktiken – Strategier för att minska återfall i brott och missbruk* (s. 55-74). Lund: Studentlitteratur.
- Andrews, D. A., & Bonta, J. (2010). Rehabilitating criminal justice policy and practice. *Psychology, Public Policy, and Law*, 16, 39-55.
- Farrington, D. P. & Welsh, B. C. (2007). *Saving children from a life of crime. Early risk factors and effective interventions*. Oxford: Oxford University Press, Inc.

WHY IS IT IMPORTANT TO FOCUS ON RISK AND PROTECTIVE FACTORS?

- Interventions that focus on research based risk and protective factors are more effective than interventions that do not.
- There is a lot of knowledge from research on risk and protective factors.
- The practical use of this knowledge in health care, preschool, social services and psychiatry is so far very limited.

WHY IS IT IMPORTANT TO FOCUS ON RISK AND PROTECTIVE FACTORS? (CONT.)

- There is a long tradition of using this kind of knowledge/research in medical practice.
- Important to increase use, since it is likely to lead to more effective interventions!
 - Purpose to identify and help, not to stigmatize or label
- A concrete way of practicing evidence based practice!

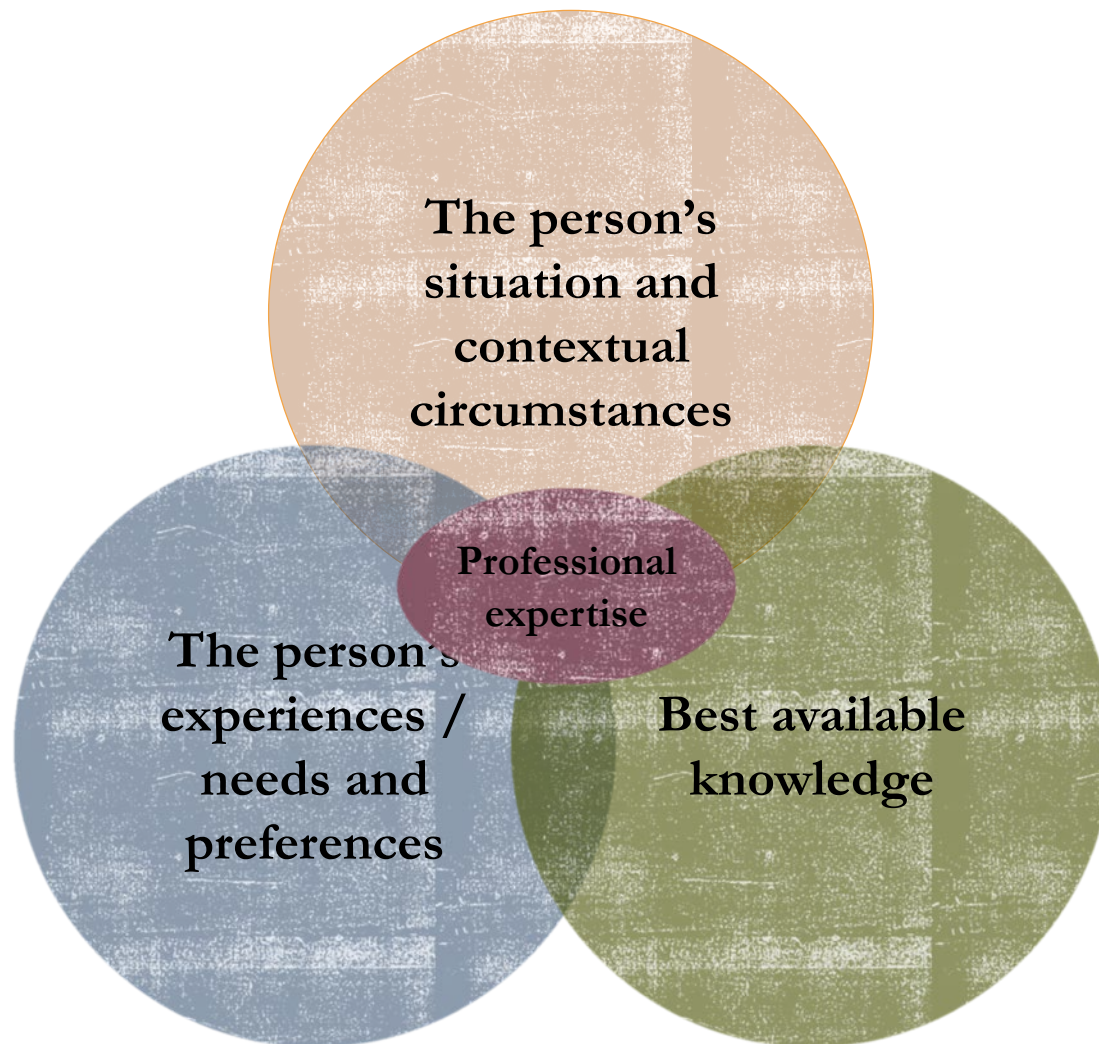
DISCUSSION IN GROUPS

1. Does it make sense you think that this can lead to more effective/better interventions?
How/why?

2. How do you in practice work with risk- and protective factors today?
In assessment? In interventions? If not, why not? Hurdles?

3. If we do not focus on research based risk- and protective factors in practice – what is the concrete alternative?

EVIDENCE BASED PRACTICE - EBP



GOOD REASONS FOR EVIDENCE BASED PRACTICE

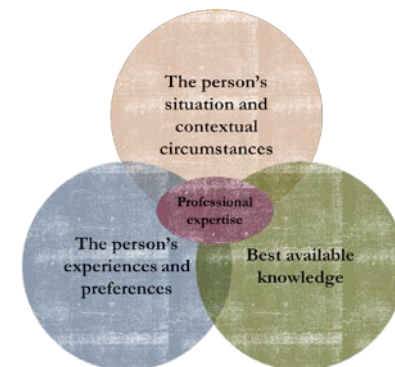
- Evidence based practice increases the possibilities to help, decreases the risk for causing damage, increases transparency, and facilitates development.
- The purpose with evidence based practice is **to increase the ability to help**.
- In an evidence based practice the aim is that treatment and care should rest upon best available knowledge, which is found in research, in the persons themselves, and in practice.

GOOD REASONS FOR EVIDENCE BASED PRACTICE (CONT.)

- The ambition is that each individual should be offered the intervention that best suits him or her.
- Preferably, the intervention should be evaluated so that you know that the likelihood for it to have positive effects is greater.
- The minimum requirement is that it should not cause damage (have negative effects).

COMMON EBP MISCONCEPTIONS

- In evidence based practice, only evidence based interventions are used.
- In evidence based practice, the client has no say.
- In evidence based practice, the relevance of personal meetings and relationships is disregarded.
- In evidence based practice, there is no consideration of the professionals' competencies or experiences.
- The only knowledge or research that is valuable, is randomized controlled trials and evaluations.
- **THIS IS NOT TRUE!** – Look at the model!



DISCUSSION IN GROUPS

1. Do you work according to EBP today? How concretely? If not, why not?

2. Do you REALLY know the effects of the interventions you provide How? If not, why not? Do you know if they cause harm?

3. If not work according to EBP – what is the alternative?

HOW KNOW EFFECTS OF INTERVENTIONS?

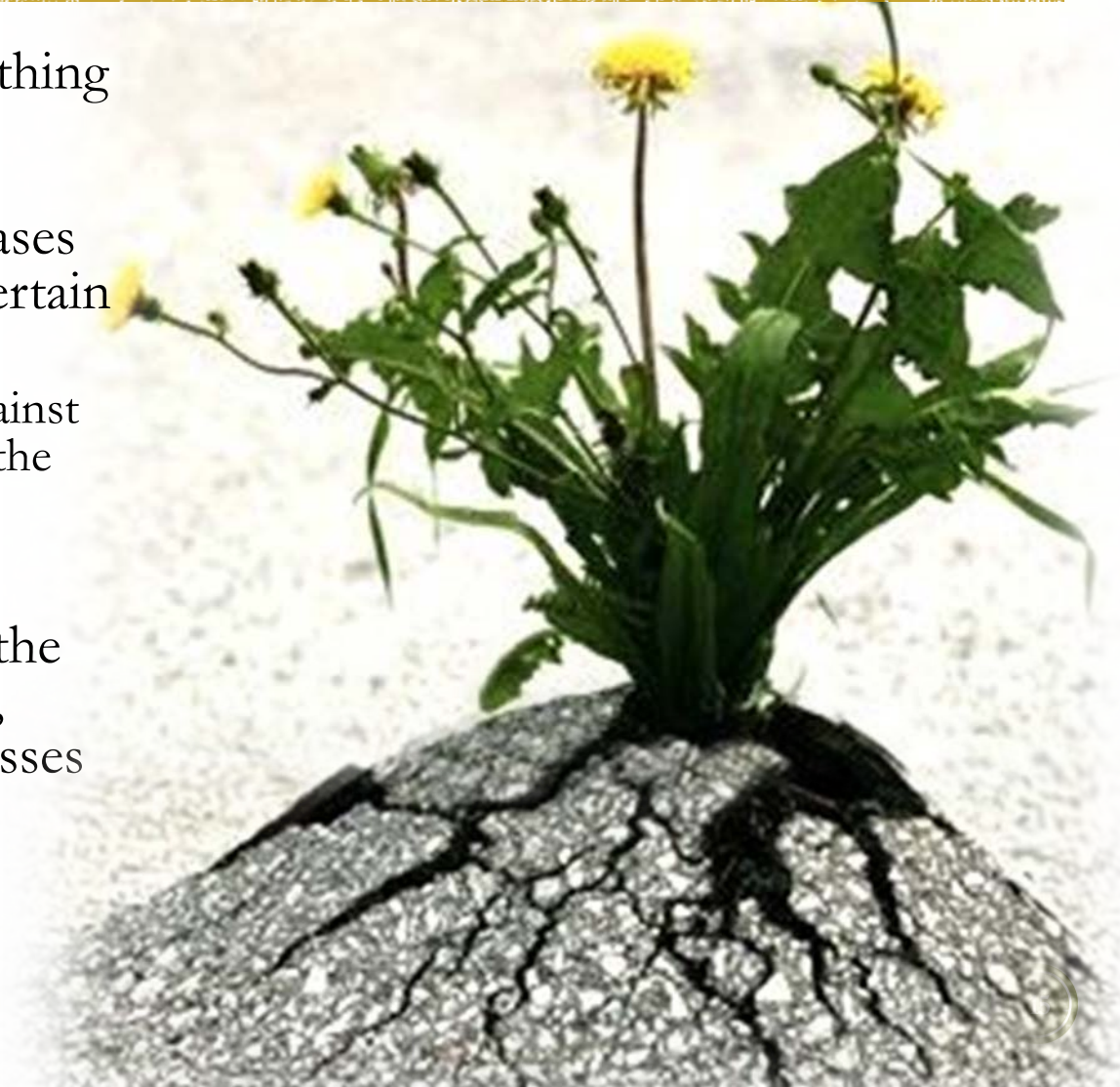
- We need to follow what we aim to change
 - before and after interventions.
- Preferably also compare with group NOT receiving the intervention.

WHAT IS A RISK FACTOR?

- A **risk** is something (e.g., characteristic, behavior, circumstance, process) that **increases the likelihood or risk for a certain outcome**.
 - There is a correlation between the risk factor and the outcome
 - May be a causal factor, but does not have to be

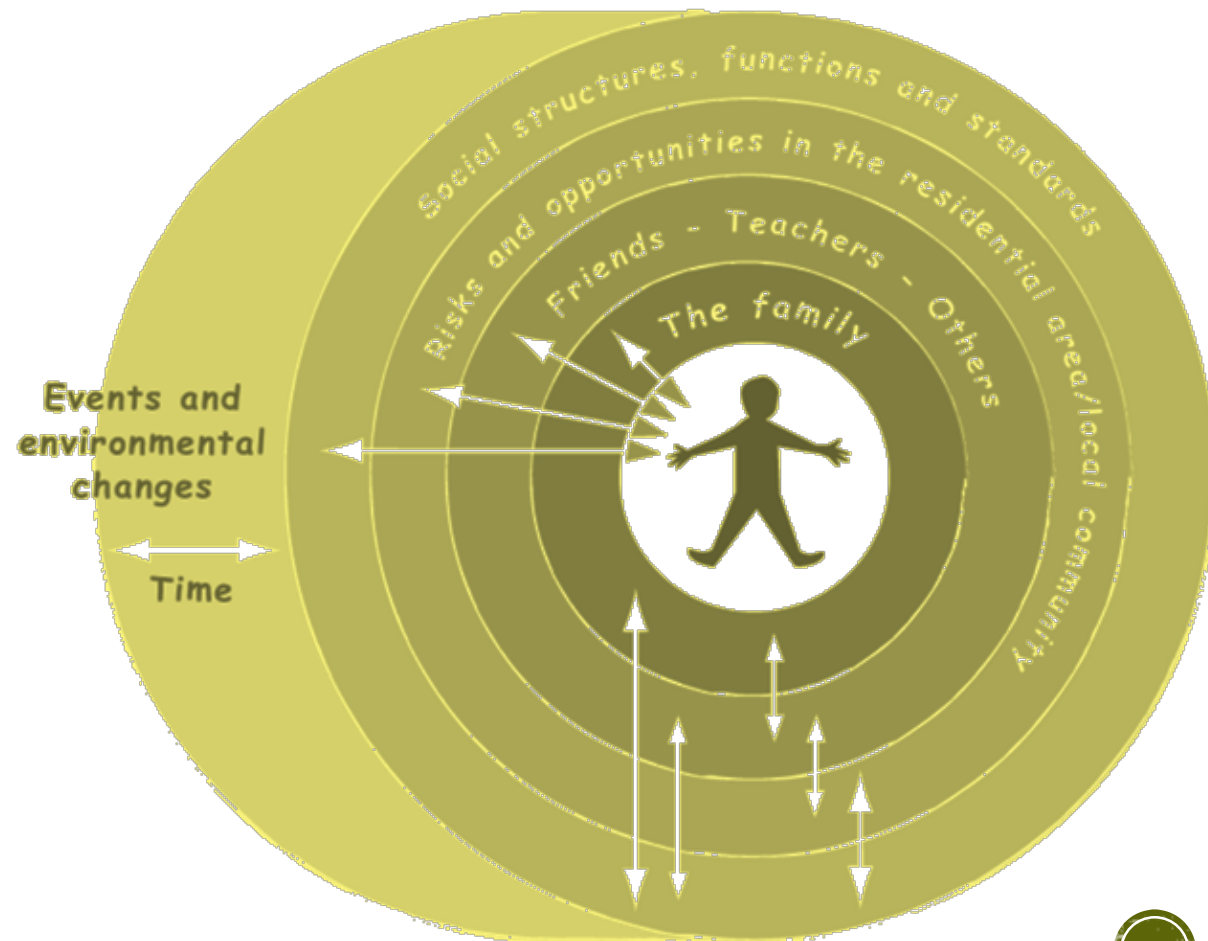
WHAT IS A PROTECTIVE FACTOR?

- A **protective factor** is something (e.g., characteristic, behavior, circumstance, process) that, according to research, decreases the likelihood or risk for a certain outcome.
 - Through acting as a buffer against or a mechanism that changes the effects of exposure to risk.
- Presence of one or several protective factors can make the youth not develop problems, even though he or she expresses or is exposed to risk factors.



WHAT ARE THE RISK AND PROTECTIVE FACTORS FOR PSYCHOSOCIAL PROBLEMS?

- Exist on all "levels"
 - Both in the individual and in the contexts that surround him/her
- Thus, to exclude either individual or social factors is neither effective nor correct



DIFFERENT TYPES OF RISK AND PROTECTIVE FACTORS

- **Direct** (proximal) vs. **Indirect** (distal)
- **Dynamic** (Modifiable) vs. **Static** (Unmodifiable)
- **Initiating** vs. **Upholding/Maintaining**

GENES AND/OR ENVIRONMENT?

- If there are heritable causes and risk and protective factors for psychosocial problems, identical (monozygotic) twins should be more similar than fraternal (dizygotic) twins when it comes to psychosocial problems
- ...which is exactly what has been found in research
- Both heritability and social environment is important
- That is, genes **AND** environment



IDENTICAL TWINS MORE SIMILAR THAN FRATERNAL TWINS

(Eley et al., 1999)

	Boys	Girls
Non-aggressive antisocial behavior		
Identical twins	0.71	0.78
Fraternal twins	0.59	0.60
Aggressive antisocial behavior		
Identical twins	0.72	0.82
Fraternal twins	0.41	0.45

WHAT DOES "HERITABILITY IN PSYCHOSOCIAL FACTORS" REALLY MEAN?

- Common misconceptions:
 - So people are programmed in their DNA to develop psychosocial problems regardless of the environment!
 - "Biological determinism!?" – That has been dead for ages!
 - So it does not matter what we do in social interventions!?
- What it *really* means
 - That both genes and environment are important
 - That a greater risk/sensitivity for psychosocial problems may be inherited
 - Expressed in different heritable risk factors
 - BUT, that social contexts and psychosocial interventions **definitely** can affect the individual to develop in a positive manner, even though there are heritable risk factors!

EXAMPLE: RISK FACTORS FOR CRIME/NORMBREAKING BEHAVIOR AMONG YOUTH

Youth

- Defiant behavior, anger or fearlessness.
- Overactivity, impulsiveness or concentration difficulties.
- Difficulties with empathy, feelings of guilt or regret.
- Insufficient verbal abilities or school performance.
- Negative problem solving, interpretations or attitudes.
- Depressive mood or self harming behavior.
- Conduct problems.
- Alcohol- or drug abuse.
- Problematic peer relations.

Family

- Parents' own difficulties.
- Difficulties in parent-youth relations.
- Parents' difficulties with parenting strategies.

EXAMPLE: PROTECTIVE FACTORS FOR CRIME/ NORMBREAKING BEHAVIOR AMONG YOUTH

Youth

- Positive school attachment and performance.
- Positive attitudes and problem solving strategies.
- Positive relations and activities.
- The youth's awareness and motivation.

Family

- Parents' energy, engagement and support.
- Parents' positive attitudes and parenting strategies.
- Parents' awareness and motivation.

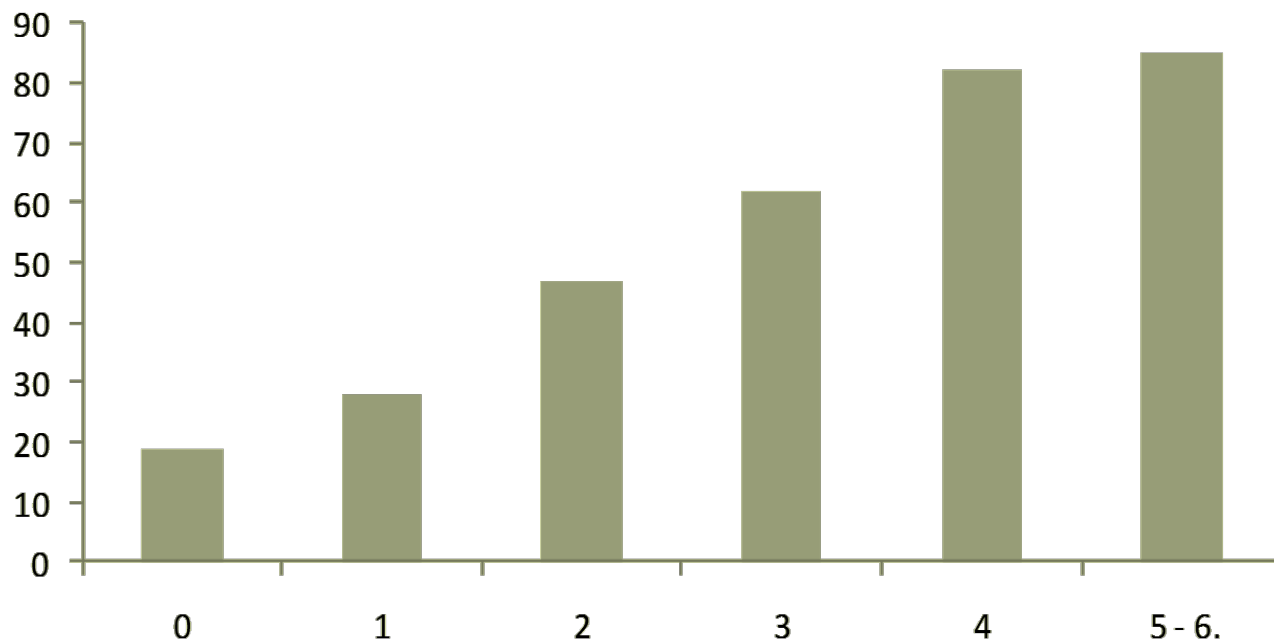
SINGLE, ISOLATED RISK FACTORS ARE OFTEN WEAK

- Single risk factors are often relatively weak
- Most have a relation to the outcome of approx. 0.20-0.40 (maximum 1.0)
- This means that many individuals with one risk factor will never develop the outcome that the risk factor increases the risk for
- However, risk factors have clear cumulative effects
 - This means that the greater the number of risk factors, the higher the level of risk

THE MORE RISK FACTORS THE HIGHER THE RISK


(e.g., Farrington, 2003)

% convicted of crime in adulthood



Number of youth risk factors

PRACTICE FROM A RISK-PROTECTION PERSPECTIVE – RISK FOCUSED PREVENTION

- 
1. ■ Identify and rate risk factors
 - Risk factors that we know from research really are risk factors.
 - Identify and rate protective factors
 - Protective factors that we know from research really are protective factors.
 2. ■ Through interventions, aim toward:
 - Reduce/remove/exterminate risk factors
 - Strengthen protective factors

EFFECTIVE TO ADHERE TO PRINCIPLES OF RISK, NEED AND RESPONSIVITY

(e.g., Andrews & Bonta, 2010)

- **Risk** (**Who** should be offered our various interventions?)
 - The dose/intensity of the interventions is adapted to the level of risk for long lasting problems – more intensive interventions to those with high risk.
- **Need** (**What** should the intervention focus on?)
 - Interventions should focus on the specific needs of the youth/family – i.e., the most important research based risk and protective factors. – The factors that has to do with the problem at hand!
- **Responsivity** (**How** should the intervention be designed and delivered?)
 - Interventions are offered in **a way** that the unique child and family can benefit from.

WHY?

THE MORE OF THE THREE PRINCIPLES THAT ARE ADHERED TO, THE GREATER THE EFFECTS OF OUTPATIENT AND RESIDENTIAL CARE

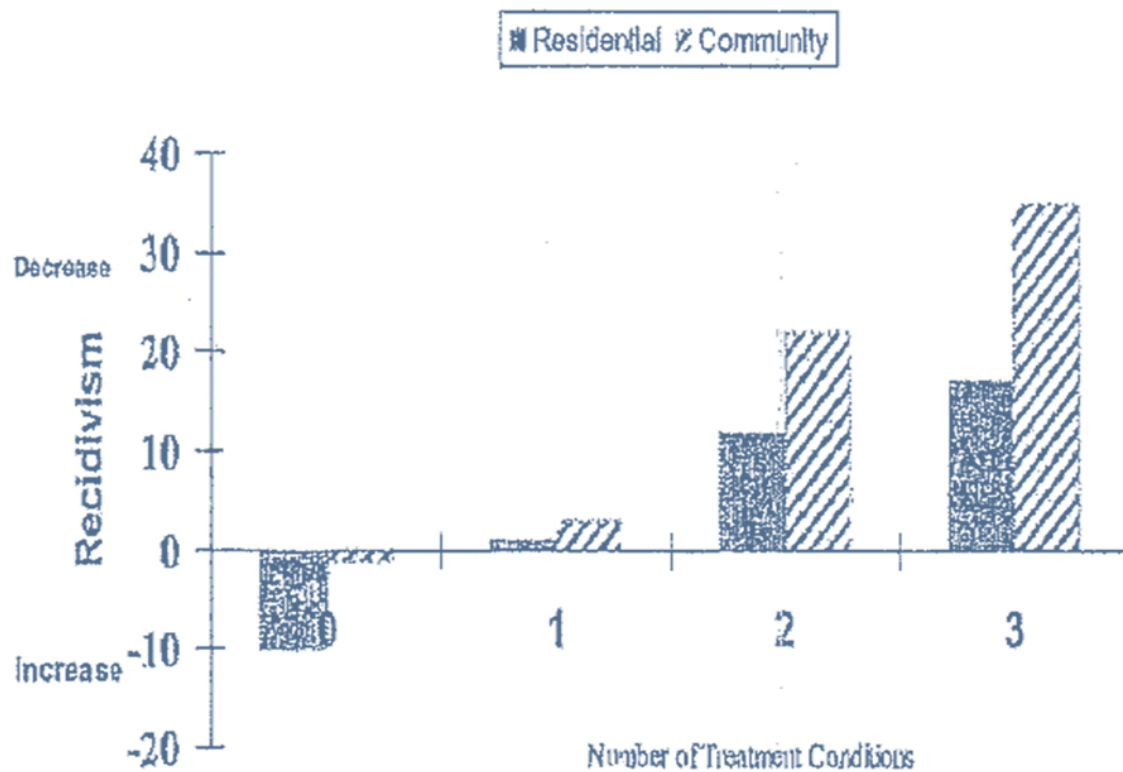


Figure 1. Adherence to the RNR principles by setting.

DISCUSSION IN GROUPS

1. How do you in practice work with risk focused prevention? What needs to be changed in the way you work to work more according to this approach?

2. How can the different types of risk – and protective factors be important to you in practice (i.e., direct vs. indirect, etc)

3. Why even bother focusing on risk- and protective factors when they individually are so weak?






4. How do you in practice work with the principles risk, need, responsivity today? What needs to be changed in the way you work to work more according to these principles? Hurdles?

HOW TO KNOW WHICH RISK AND PROTECTIVE FACTORS TO FOCUS ON?

- Use research reviews and existing instruments/checklists
- Which is the target group and the focus?
 - E.g.,: Adults with substance use problems
- Is there an assessment instrument/a checklist that covers research based risk and protective factors for this group?
 - If yes: Is it reliable? What does research testing the instrument/checklist say?
 - If no: Use research reviews/meta analyses to find out what the risk factors are according to research
 - Collaborate with someone who can find, interpret and summarize research
 - Summarize the factors

INSTRUMENTS? IN SWEDEN, WE USE THE METHOD GUIDE OF THE NATIONAL BOARD OF HEALTH AND WELFARE

Sök i metodguiden för socialt arbete

Ladda ner sida     

Här söker du i Socialstyrelsens metodguide för socialt arbete. Sök genom att skriva i fritextrutan eller ta hjälp av kategorierna till höger. Att en metod är granskad innebär inte att vi rekommenderar den, utan att den är bedömd utifrån forskning.

Metod	Målgrupp	Publicerat innehåll
ADAD (Adolescent Drug Abuse Diagnosis)	Unga personer med missbruk och sociala problem.	Beskrivning, Hänvisning till kunskapsunderlag
ADDIS (Alkohol Drog Diagnos Instrument)	Vuxna personer och ungdomar.	Beskrivning, Hänvisning till kunskapsunderlag
Alcohol-E	Personer med identifierade alkoholproblem.	Beskrivning, Hänvisning till kunskapsunderlag
ASI (Addiction Severity Index)	Vuxna med missbruksproblem.	Beskrivning, Hänvisning till kunskapsunderlag

Sök och avgränsa

Sökord

Åldersgrupp

- Barn och unga (0–18 år)
- Ungdomar (13–21 år)
- Vuxna
- Äldre

Område

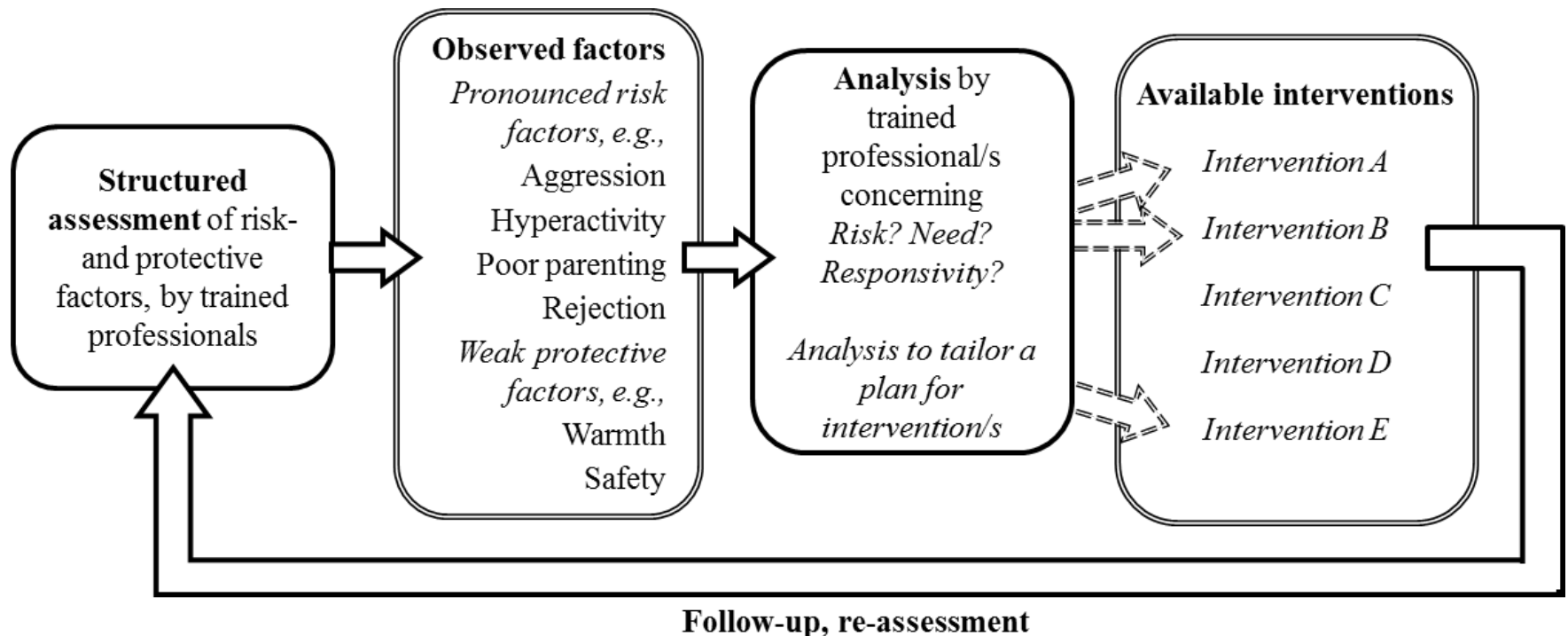
- Anhörigstöd
- Funktionshinder
- Föräldrastöd
- Missbruk och beroende
- Normbrytande beteende
- Psykisk hälsa
- Våld och övergrepp

Typ av metod

- Bedömningsmetod
- Insats

ASSESSMENT, INTERVENTION, FOLLOW UP, IN PRACTICE

(Andershed & Andershed, 2015; Andershed, Andershed, & Farrington, 2012)



WHAT IS REQUIRED TO MATCH NEEDS AND INTERVENTIONS?

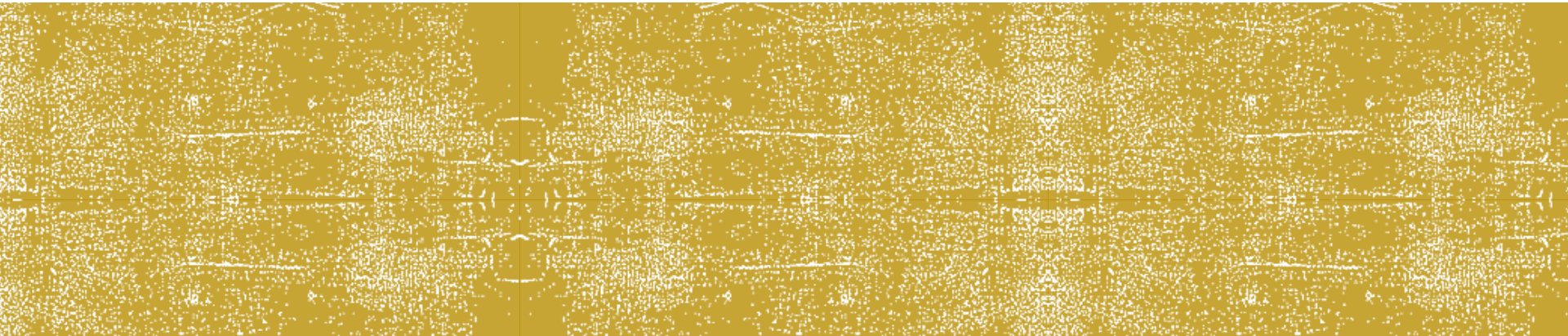
- That we know what the interventions aim to change
 - Which risks can the intervention reduce or take away?
 - Which protective factors can the intervention strengthen?
 - You need to find this out, regarding your interventions!
 - Many organizations/municipalities lack in this respect
 - The tailoring is too broad and unspecific!

TO TAILOR/ADJUST ACCORDING TO NEEDS

- Tailor to the youth's/family's individual and most important needs – this is often not done!
 - The municipality usually uses a certain intervention, e.g., Aggression Replacement Training (ART)
 - This aims toward "Youth with aggressive behavior problems"
 - The intervention is then offered to all youths with aggressive behavior
 - This will not be effective
 - ART (just as all other interventions and programmes) is directed toward a subgroup of risk factors/causes to aggression (moral reasoning, social skills, self control)



SO, HOW CAN WE ASSESS RISK AND PROTECTIVE FACTORS?



**RYANAIR BOEING 737-800
NORMAL CHECKLIST**

SAFETY INSPECTION

- SURFACES & CHOCKS
- MAINTENANCE STATUS
- BATTERY
- ELECTRIC HYDRAULIC PUMPS
- LANDING GEAR LEVER
- SHIPS LIBRARY

BEFORE START

- FLIGHT DECK PREPARATION..... COMPLETED
- *MOBILE PHONES.....
- CABIN PHONE (AS FITTED).....
- *IRS MODE SELECTORS..... SMOKE TEST / ON
- *LIGHT PINS..... OFF
- *OXYGEN & INTERPHONE..... REMOVED
- *YAW DAMPER..... CHECKED
- NAVIGATION DISPLAYS PANEL..... CHECKED
- *FUEL CABINETS..... ON
- CAB/UTIL IFE/GALLEY POWER..... KG'S & PUMPS ON
- *EMERGENCY EXIT LIGHTS..... ON
- *WINDOW HEAT..... ON
- *HYDRAULICS & PRESS..... PACKS AUTO, BLEEDS ON, SET
- *AIR COND..... NORMAL
- *PRESSURISATION MODE SELECTOR.....
- AUTOPILOTS.....
- *INSTRUMENTS.....
- *AUTO BRAKE..... AUTO
- *SPEED BRAKE..... DISENGAGED
- *PARKING BRAKE..... X-CHECKED
- STAB TRIM..... RTO
- WHEEL TRIM CUTOUT SW'S..... DOWN DETENT
- *RADIO, RADAR & TXPDR..... SET
- *RIDER & AILERON TRIMS..... NORMAL
- *TAKEOFF BRIEFING..... FREE & STBY
- *PA..... SET & ZERO
- *PAPERS..... DISCUSSED
- *FMC/CDU..... COMPLETE
- *N1 & IAS BUGS..... ON BOARD
- *STAB TRIM..... SET
- *FLIGHT DECK WINDOWS..... AUTO
- *COCKPIT DOOR..... LOCKED
- DOORS..... CLOSED
- HYDRAULIC 'A' PUMPS..... AS REQUIRED
- AIR COND PACKS..... ON
- ANTICOLLISION LIGHT..... OFF
- PARKING BRAKE..... AS REQUIRED

AFTER START

- ELECTRICAL..... GENERATORS ON
- PROBE HEAT..... ON
- ANTI-ICE..... AS REQUIRED
- HYDRAULIC 'A' PUMPS..... ON
- AIR COND..... PACKS AUTO, BLEEDS ON
- ISOLATION VALVE..... AUTO
- APU..... AS REQUIRED
- FLAP.....
- START LEVERS..... IDLE DETENT

SHUTDOWN

- FUEL..... PUMPS OFF
- *ELECTRICAL..... ON
- *FASTEN BELTS..... OFF
- WINDOW HEAT..... OFF
- *PROBE HEAT..... OFF
- *ANTI-ICE..... OFF
- ELECTRIC HYDRAULIC PUMPS..... OFF
- *AIR COND..... PACK(S), BLEEDS ON
- *EXTERIOR LIGHTS..... AS REQUIRED
- *START SWITCHES..... OFF
- *AUTO BRAKE..... DOWN DETENT
- *SPEED BRAKE..... UP, NO LIGHTS
- *FLAPS..... SET
- *PARKING BRAKE..... CUTOFF
- *START LEVERS..... STANDBY
- *WEATHER RADAR..... IN/OUT (AS REQUIRED)
- *TRANSPONDER..... UNLOCKED
- *CVR CB.....
- *COCKPIT DOOR.....

SECURE

- IRS MODE SELECTORS..... OFF
- CAB/UTIL IFE/GALLEY POWER..... AS REQ'D
- CABIN PHONE (AS FITTED)..... OFF
- EMERGENCY EXIT LIGHTS..... OFF
- AIR COND PACKS..... OFF
- APU/GND POWER..... OFF
- BATTERY..... OFF

*THROUGH FLIGHTS

Checklist Rev. 01/09

16th February 2009

One in five million = 0,00%

3-17% LEAD TO COMPLICATIONS OR DEATH

- It is not uncommon that simple errors in handling or lack of planning of the procedure is the cause (WHO)



SURGICAL SAFETY CHECKLIST

Surgical Safety Checklist



World Health
Organization

Patient Safety

A World Alliance for Better Health Care

Before induction of anaesthesia

(with at least nurse and anaesthetist)

Has the patient confirmed his/her identity, site, procedure, and consent?

Yes

Is the site marked?

Yes

Not applicable

Is the anaesthesia machine and medication check complete?

Yes

Is the pulse oximeter on the patient and functioning?

Yes

Does the patient have a:

Known allergy?

No

Yes

Difficult airway or aspiration risk?

No

Yes, and equipment/assistance available

Risk of >500ml blood loss (7ml/kg in children)?

No

Yes, and two IVs/central access and fluids planned

Before skin incision

(with nurse, anaesthetist and surgeon)

Confirm all team members have introduced themselves by name and role.

Confirm the patient's name, procedure, and where the incision will be made.

Has antibiotic prophylaxis been given within the last 60 minutes?

Yes

Not applicable

Anticipated Critical Events

To Surgeon:

What are the critical or non-routine steps?

How long will the case take?

What is the anticipated blood loss?

To Anaesthetist:

Are there any patient-specific concerns?

To Nursing Team:

Has sterility (including indicator results) been confirmed?

Are there equipment issues or any concerns?

Is essential imaging displayed?

Yes

Not applicable

Before patient leaves operating room

(with nurse, anaesthetist and surgeon)

Nurse Verbally Confirms:

The name of the procedure

Completion of instrument, sponge and needle counts

Specimen labelling (read specimen labels aloud, including patient name)

Whether there are any equipment problems to be addressed

To Surgeon, Anaesthetist and Nurse:

What are the key concerns for recovery and management of this patient?

WHEN USING THE CHECKLIST...

Surgical Safety Checklist

World Health Organization | Patient Safety

Before induction of anaesthesia
(with at least nurse and anaesthetist)

- Has the patient confirmed his/her identity, site, procedure, and consent?
 Yes
- Is the site marked?
 Yes
 Not applicable
- Is the anaesthesia machine and medication checked complete?
 Yes
- Is the pulse oximeter on the patient and functioning?
 Yes
- Does the patient have a:
 - Known allergy?
 No
 Yes
 - Difficult airway or aspiration risk?
 No
 Yes, and equipment/assistance available
 - Risk of >500ml blood loss (weight in children)?
 No
 Yes, and team (V) central access and fluids primed

Before skin incision
(with nurse, anaesthetist and surgeon)

- Confirm all team members have introduced themselves by name and role.
- Confirm the patient's name, procedure, and where the incision will be made.
- Has antibiotic prophylaxis been given within the last 60 minutes?
 Yes
 Not applicable
- Anticipated Critical Events**
 - To Surgeon:**
 - What are the critical or non-routine steps?
 - How long will the case take?
 - What is the anticipated blood loss?
 - To Anaesthetist:**
 - Are there any patient-specific concerns?
 - To Nursing Team:**
 - Has oxygen (including indicator results) been confirmed?
 - Are there equipment issues or any concerns?
 - Is essential imaging displayed?**
 Yes
 Not applicable

Before patient leaves operating room
(with nurse, anaesthetist and surgeon)

Nurse Verbally Confirms:

- The name of the procedure
- Completion of instrument, sponge and needle counts
- Specimen labelling (and specimen labels about, including patient name)
- Whether there are any equipment problems to be addressed

To Surgeon, Anaesthetist and Nurse:

- What are the key concerns for recovery and management of the patient?

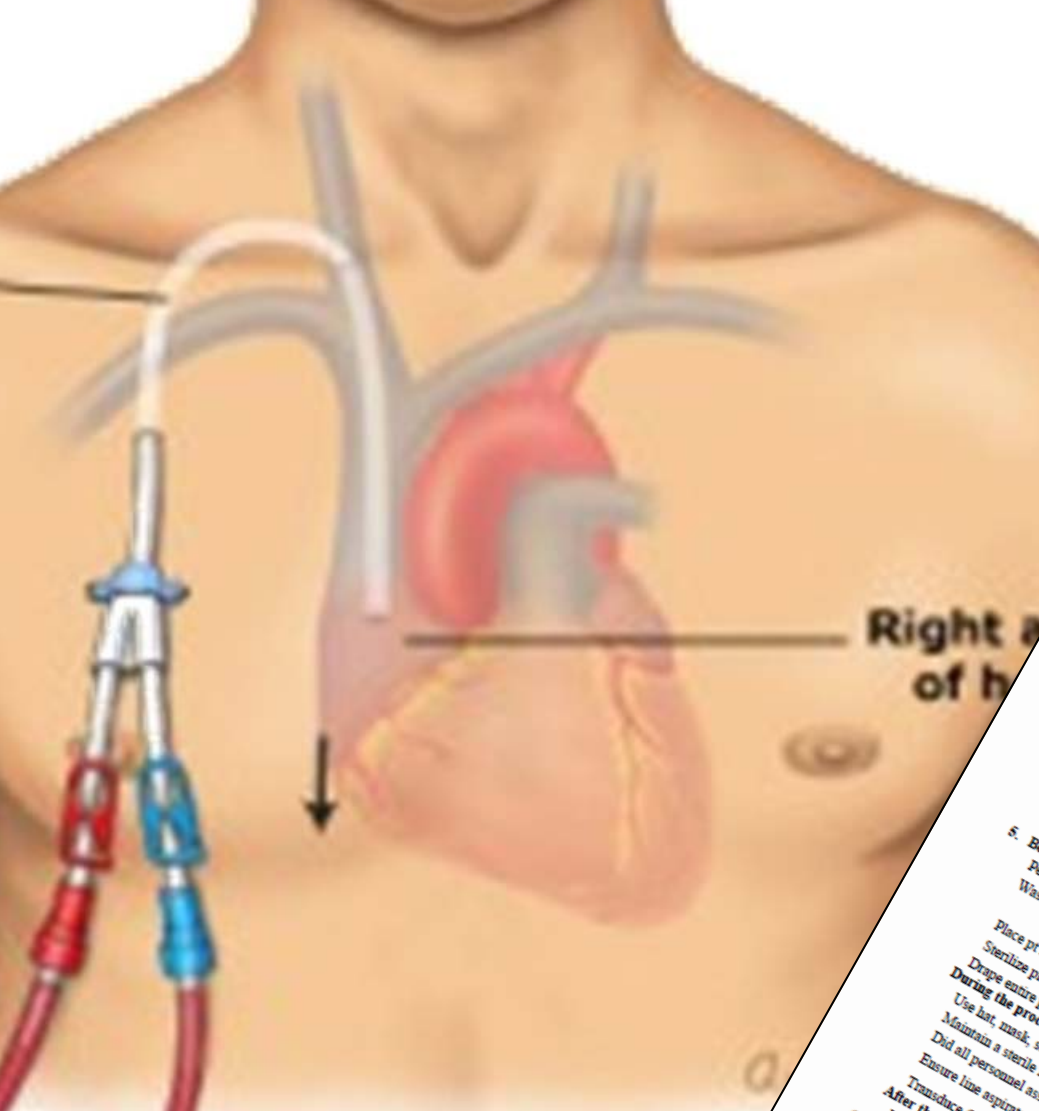
© WHO, 2009

This checklist is not intended to be comprehensive. Additions and modifications to fit local practice are encouraged.

- ...complications were reduced by 36%
- ...deaths were reduced by 47% (see Haynes et al., 2007)

WHEN USING THE CHECKLIST...

- ...infections were reduced by more than 50%
- ...many lives were saved
(Pronovost et al., 2006)



Appendix J

► If any item on the checklist is not adhered to or there are any concerns, contact the ICU attending

Central Line Insertion Care Team Checklist

Purpose: To work as a team to decrease patient harm from catheter-related blood stream infections
When: During all central venous or central arterial line insertions or re-wires
By whom: Bedside nurse

If there is an observed violation of infection control practices, line placement should stop immediately and the violation should be corrected. If a correction is required, mark yes to question #6 and explain violation at the bottom of the page and what corrections were made

Patient's name or Room Number: _____

1. Today's date _____

2. Is the procedure: _____

3. Procedure: _____

4. Site Site Used: Yes No Internal Jugular Elective New line Emergent
If equipment is available, ultrasound guidance should be used for all non-emergent internal jugular line placement. (Optional for subclavian and femoral line placement.) Yes: Yes Subclavian Rewire Femoral
After correction: Yes No Don't Know

5. Before the procedure, did the house staff:

Perform a "time-out" _____

Wash hands (chlorhexidine or soap) immediately prior _____

Place pt in Trendelenburg position observed? _____

Sterilize procedure site (Chlorhexidine) _____

During the procedure, did the house staff:

Use hat, mask, sterile gown and gloves _____

Did all personnel assist _____

Ensure line aspirate _____

Transduce _____

After the _____







Add Event

Cancel

Title

Location

Sun, 1 Apr 4:00
5:00

Starts
Ends

Repeat

ites

Meeting
clock
presentation

- Buy 20 binders (40% off at local store until Friday)

- Create graph for Slide 4
- Finish presentation outline

- Buy tickets concert

- Status of project tracking tool?

- Meeting @ 10:15 AM
- Pick up car

- Call John when Matt gets back (after 2) to arrange meeting

- Book flight to Sydney

- Get time details from Mark

- Send quote to

- Look at PDA user manual

- Get shipment status (order no. #748472042M)

Shopping List (2)

- Bread (3x)
- Raisins
- Sultanas

- Water ferns
- Pick up eggs at local shop
- Mow the lawn

- Order # AE34
- Contact plumber about tap leak









80

100

60

120

40

40

50

60

70

MPH

80

140

20

90

20

10

100

160

0

110

km/h

180



Other people might need instruments or checklists. But I certainly don't..?

EXAMPLE OF A STRUCTURED ASSESSMENT INSTRUMENT/CHECKLIST: ESTER



ESTER

- Evidence based structured assessment of risk and protective factors
- A research based assessment system that contains
 - (1) a system for screening (ESTER-Screening)
 - (2) a structured assessment instrument (ESTER-Assessment).
 - A computerized system that facilitates the interpretation of results, professional collaboration, etc.
- Risk-Need Assessment of risk and protective factors among youth (0-18 yrs) with or at risk for normbreaking behavior
 - Can be used for both prevention and treatment purposes

CAN BE USED IN ALL SECTORS WORKING WITH CHILDREN AND ADOLESCENTS

- Enhance communication and collaboration between sectors
 - The computerized system facilitates collaboration



ESTER

ESTER-ASSESSMENT



ESTER

- Research based, structured risk-need assessment instrument of risk and protective factors for normbreaking behavior among youth between 0-18 years of age
- 19 risk and protective factors
- Supports decision making concerning interventions
- Incites repeated assessments (e.g., before and after interventions)
 - Computerized system that facilitates interpretation, presentation, and collaboration

RISK FACTORS ASSESSED IN ESTER-ASSESSMENT



ESTER

Youth

- Defiant behavior, anger or fearlessness.
- Overactivity, impulsiveness or concentration difficulties.
- Difficulties with empathy, feelings of guilt or regret.
- Insufficient verbal abilities or school performance.
- Negative problem solving, interpretations or attitudes.
- Depressive mood or self harming behavior.
- Conduct problems.
- Alcohol- or drug abuse.
- Problematic peer relations.

Family

- Parents' own difficulties.
- Difficulties in parent-youth relations.
- Parents' difficulties with parenting strategies.

PROTECTIVE FACTORS ASSESSED IN ESTER-ASSESSMENT



ESTER

Youth

- Positive school attachment and performance.
- Positive attitudes and problem solving strategies.
- Positive relations and activities.
- The youth's awareness and motivation.

Family

- Parents' energy, engagement and support.
- Parents' positive attitudes and parenting strategies.
- Parents' awareness and motivation.

IS STRUCTURE (INSTRUMENT) BETTER THAN LACK OF STRUCTURE (NO INSTRUMENT)?

- A common hypothesis among researchers:
 - Assessments that are conducted with a structured assessment instrument leads not only to coherent and adequate assessments, but also...
 - MORE coherent and adequate assessments than when an instrument is NOT used.
- But, is that **really** true?

SOCIAL WORKERS USING AN INSTRUMENT VS. SOCIAL WORKERS NOT USING AN INSTRUMENT

(Andershed & Andershed, 2015)

- 30 social workers trained in a structured instrument/checklist (ESTER-Assessment) were given the task to assess a written /fictitious case concerning Charlie, age 14.
- 30 other social workers were given the same task, but had no training in and were not using a structured instrument/checklist.
- Task: What is important to focus on in Charlie, to be able to help him?

RESULTS: HOW MANY RISK FACTORS WERE IDENTIFIED?

Number of risk factors identified	With ESTER- Assessment (n=30)	Without instrument (n=30)
All 8	37%	0%
7	20%	10%
6	30%	20%
5	13%	17%
4	0%	23%
3	0%	17%
2	0%	3%
1	0%	7%
0	0%	3%

(Andershed & Andershed, 2015)

RESULTS: HOW MANY PROTECTIVE FACTORS WERE IDENTIFIED?

Number of protective factors identified	With ESTER- Assessment (n=30)	Without instrument (n=30)
All 4	10%	0%
3	20%	0%
2	20%	3%
1	17%	3%
0	33%	94%

(Andershed & Andershed, 2015)

RESULTS: SOCIAL SERVICE DIRECTOR'S OPINIONS OF THE RATINGS?

	With ESTER- Assessment (n=30) Mean value	Without instrument (n=30) Mean value	t-value (df)
Overall, an adequate/good assessment? 1. Not at all adequate 2. Somewhat adequate 3. Adequate 4. Very adequate	2,78	2,42	2,43*** (58)
Missed to note things? 1. No 2. Yes, on a few occasions 3. Yes, several things	1,43	1,88	-4,26*** (58)
Are the correct interventions suggested? 1. No, probably not 2. Yes, partially 3. Yes, probably	2,12	1,95	1,48† (58)

*** or † indicates a significant difference between groups

(Andershed & Andershed, 2015)

WHEN PROFESSIONALS CONDUCT ESTER-ASSESSMENTS ON REAL CASES

(Andershed & Andershed, Manuscript)

- ESTER-Assessments in regular practice in comparison to children who are not assessed with ESTER-Assessment
 - Collaborative teams in social services and preschool/school
- 65 ESTER-Assessed children and adolescents
 - 85% boys – age: 1-17 yrs. $M = 10.29$ ($SD = 3.96$)
- 30 children and adolescents in a comparison group
 - 80% boys – age: 1-18 yrs. $M = 10.25$ ($SD = 4.38$)
- Followed 1 year after initial assessment.

THE SOCIAL WORKER'S DESCRIPTIONS OF THE INTERVENTIONS

	ESTER-assessment at initial assessment N=65	No ESTER-assessment at initial assessment N=30
Interventions focused on changing research based risk- and protective factors	81%	17%
Interventions have been tailored to fit the needs of the specific youth	67%	73%

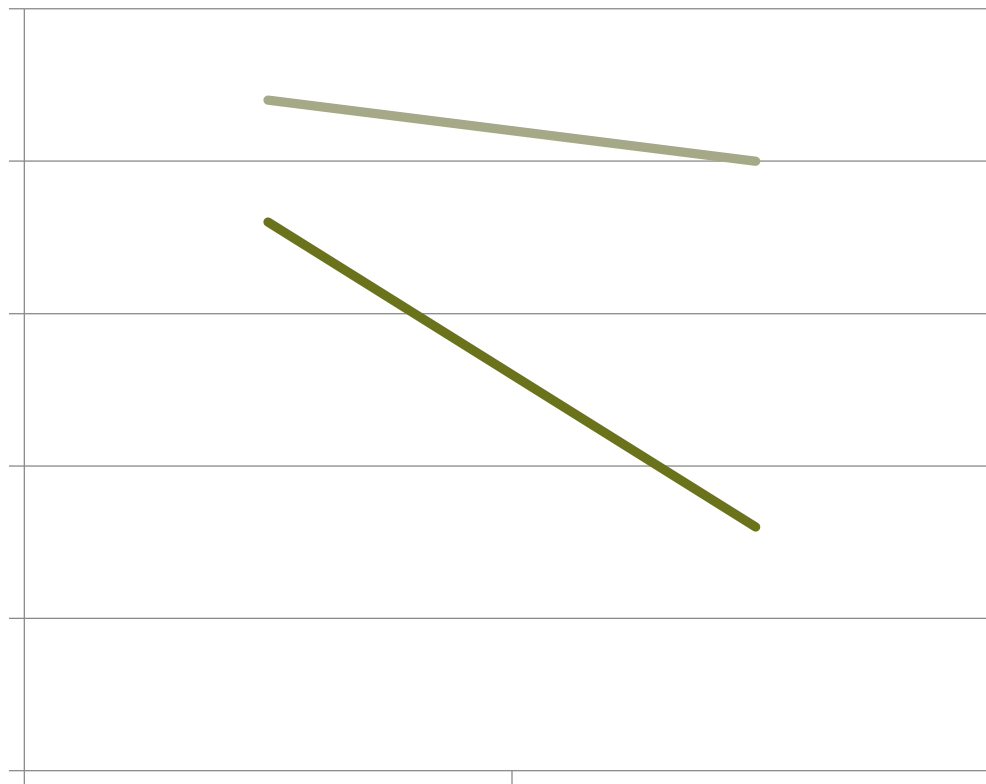
(Andershed & Andershed, manuscript)

USE OF ESTER ASSESSMENT ASSOCIATED WITH DECREASES IN PROBLEM BEHAVIOR

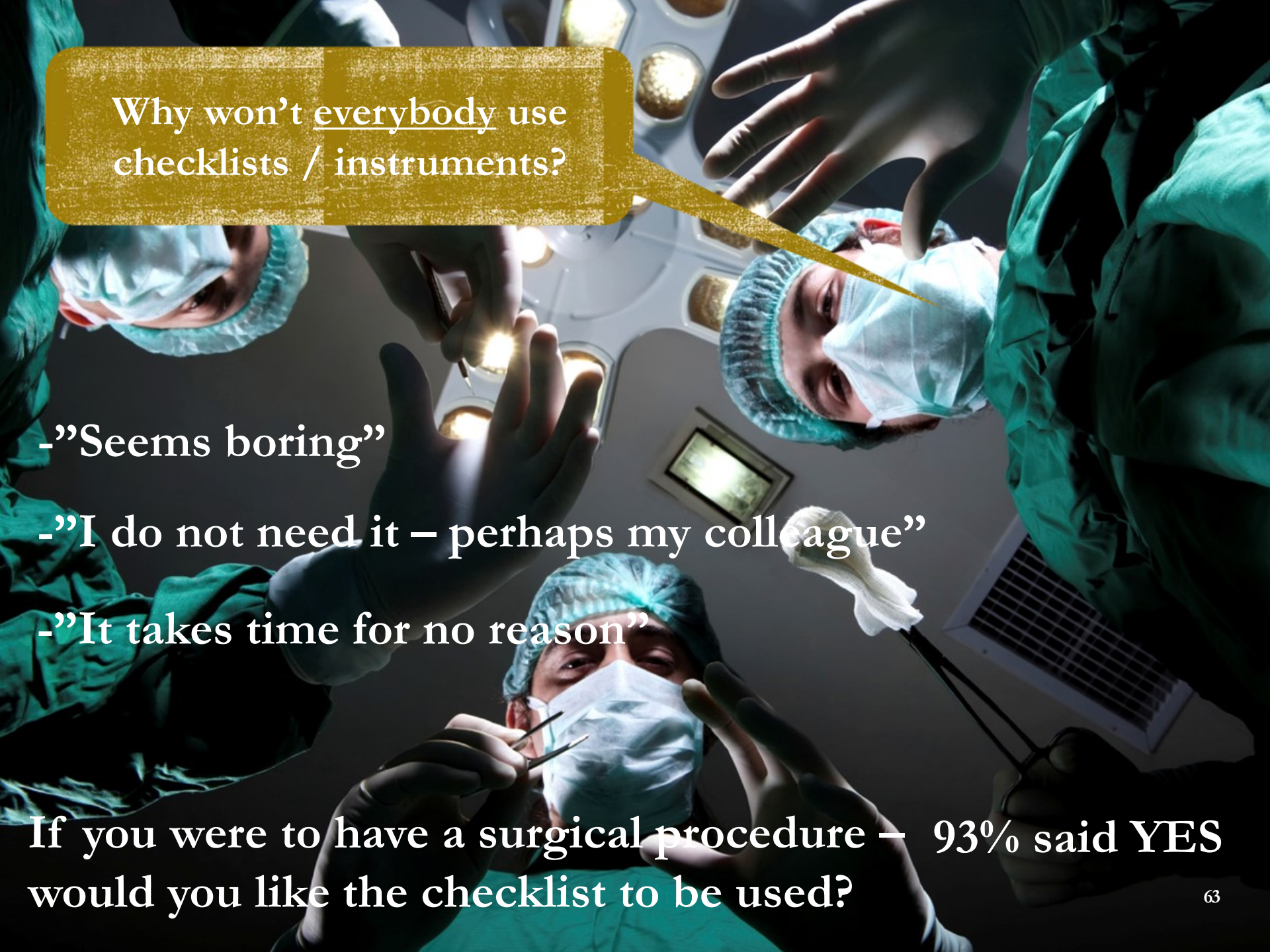
Normbreaking behavior

High

Low



- ESTER-Assessment as Initial Assessment
- No ESTER-Assessment as Initial Assessment



Why won't everybody use
checklists / instruments?

- "Seems boring"

- "I do not need it – perhaps my colleague"

- "It takes time for no reason"

If you were to have a surgical procedure – 93% said YES
would you like the checklist to be used?



Gusting winds from the left today



- Education/Continued Education
- Experience
- **Checklists/assessment instruments**

SUPPORT IN WORKING MORE IN LINE WITH EVIDENCE BASED PRACTICE (EBP)

The person's situation and contextual circumstances

Professional expertise

The person's experiences / needs and preferences

Best available knowledge

Checklists/
instruments as support



DISCUSSION IN GROUPS

1. Do you use checklists or instruments? If yes, have they been tested? Do they help?

2. Do you think that YOU need help from checklists/instruments? Why or why not?

3. Which benefits can you see with using checklists/instruments? What could they provide/improve?

SUMMARY / CONCLUSIONS

- The practical use of knowledge on risk and protective factors in preschool/school, social services, and psychiatry are – thus far – very limited.
 - This seems true internationally.
- There is a long tradition of using this kind of knowledge/research in medical practice, i.e., there are experiences to learn from
- A concrete way of working in an evidence based way – to use knowledge from research! The purpose is to achieve more effective interventions!

SUMMARY / CONCLUSIONS (CONT.)

- With structured assessment instruments/checklists assessments become more coherent and adequate/evidence based, and there is a greater focus on risk and protection → more effective interventions



THANK YOU.

Henrik Andershed, professor